

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 3468478  |
| <b>Application Number:</b>                  | 10780313   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 1068   |
| <b>Title of Invention:</b>                  | Methods and apparatus for facilitating financial instrument trading orders |
| <b>First Named Inventor/Applicant Name:</b> | Daniel Opperman  |
| <b>Customer Number:</b>                     | 28062  |
| <b>Filer:</b>                               | Nathaniel Levin/Edith Martin   |
| <b>Filer Authorized By:</b>                 | Nathaniel Levin  |
| <b>Attorney Docket Number:</b>              | G08.072/U  |
| <b>Receipt Date:</b>                        | 17-JUN-2008  |
| <b>Filing Date:</b>                         | 17-FEB-2004  |
| <b>Time Stamp:</b>                          | 13:44:17   |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)  |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Credit Card     |
| Payment was successfully received in RAM | \$510           |
| RAM confirmation Number                  | 7786            |
| Deposit Account                          | 501852          |
| Authorized User                          | LEVIN,NATHANIEL |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

**File Listing:**

| Document Number | Document Description   | File Name                  | File Size(Bytes) /Message Digest                  | Multi Part /.zip | Pages (if appl.) |
|-----------------|------------------------|----------------------------|---|------------------|------------------|
| 1               | Notice of Appeal Filed | G08072U_NoticeofAppeal.pdf | 574137<br>11a3c0e5e7b5d13a3b341eb568787435c03116a | no               | 2                |

**Warnings:****Information:**

|   |                               |                             |   |    |   |
|---|-------------------------------|-----------------------------|---|----|---|
| 2 | Miscellaneous Incoming Letter | G08072U_TransmittalForm.pdf | 89411<br>9a57682e94690385692b344d68b4357c7b39931f | no | 1 |
|---|-------------------------------|-----------------------------|---|----|---|

**Warnings:****Information:**

|   |                        |              |   |    |   |
|---|------------------------|--------------|---|----|---|
| 3 | Fee Worksheet (PTO-06) | fee-info.pdf | 8168<br>89f143363e0e4f4abcc50185c705d428d1581a6b9b5 | no | 2 |
|---|------------------------|--------------|---|----|---|

**Warnings:****Information:****Total Files Size (in bytes):**

671716

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.